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Anticoagulant effects of protein C, protein S, and antithrombin levels on the protein C pathway in young children --Manuscript Draft--

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Abstract:	<p>The protein C (PC) pathway involves physiological anticoagulant factors (PC, protein S [PS], and factor V) and performs major anticoagulant functions in adults. Variations in the overall PC pathway function due to dynamic changes in PC and PS in early childhood are poorly understood. We aimed to evaluate the contributions of PC pathway function during early childhood by administering protac (a PC activator) and measuring changes in plasma thrombin generation (TG). The correlations between anticoagulant factors and percentage of protac-induced coagulation inhibition (PiCi%) were assessed. Before protac addition, TG in newborns (n=35), infants (n=42), early children (n=35), and adults (n=20) were 525±74, 720±96, 785±53, and 802±64 mOD/min, and the PiCi% were 42.1±9.9, 69.8±11.0, 82.9±4.4, and 86.9±3.4%, respectively. The distribution of PiCi% on the two axes of TG (with or without protac) continuously changed with age and differed from that of warfarin-treated plasma or adult PC- or PS-deficient plasma. PiCi% increased dynamically during infancy and correlated with PS levels in newborns and PC levels in young children. Increment of PC or fresh frozen plasma equivalent to approximately 25% PC in PC-deficient plasma improved PiCi%. This automatic measurement requiring a small sample volume is useful for the analysis of developmental hemostasis.</p>	
Response to Reviewers:	Editor-in-Chief	

Prof. Dr. Takaori-Kondo
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Manuscript; IJHM-D-23-00633

Dear. Prof. Dr. Takaori-Kondo

Thank you very much for the notification that manuscript IJHM-D-23-00633
“Anticoagulant effects of protein C, protein S, and antithrombin levels on the protein C pathway in young children” may become acceptable for publication following revision.

We responded to all comments of the reviewers. According to the reviewers' comments, we revised the text. Responses to the reviewers' comments are presented below. We have prepared a revised version that marked all changes in red color. We thank the reviewers for the efforts. We hope that our revised version of this review paper would be accepted in this journal.

Sincerely yours,
Kenichi Ogiwara

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Response to the Reviewer 1 comment
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This manuscript reported the differences in each anticoagulant factor in neonatal, infant, early childhood, and adulthood, with focus on PC. While there are few papers on hereditary thrombosis in childhood, this is a very informative research report using a small amount of blood samples for analysis. In addition, the in vitro results showing the efficacy of PC administration and FFP administration in the condition of defects in PC are also highly regarded.

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distribution compared with healthy newborns, with equally low PiCi%.”. In conjunction with this, a sentence in the Abstract was also revised as follows, “The distribution of PiCi% on the two axes of TG (with or without protac) continuously changed with age and differed from that of warfarin-treated plasma or adult PC- or PS-deficient plasma.” Thank you very much for your helpful comments.

Response to the Reviewer 2 comment
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Additional Information:

Question	Response
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2 **C pathway in young children**
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8 **Type of manuscript:** Original article
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Abstract

The protein C (PC) pathway involves physiological anticoagulant factors (PC, protein S [PS], and factor V) and performs major anticoagulant functions in adults. Variations in the overall PC pathway function due to dynamic changes in PC and PS in early childhood are poorly understood. We aimed to evaluate the contributions of PC pathway function during early childhood by administering protac (a PC activator) and measuring changes in plasma thrombin generation (TG). The correlations between anticoagulant factors and percentage of protac-induced coagulation inhibition (PiCi%) were assessed. Before protac addition, TG in newborns (n=35), infants (n=42), early children (n=35), and adults (n=20) were 525 ± 74 , 720 ± 96 , 785 ± 53 , and 802 ± 64 mOD/min, and the PiCi% were 42.1 ± 9.9 , 69.8 ± 11.0 , 82.9 ± 4.4 , and $86.9\pm 3.4\%$, respectively. The distribution of PiCi% on the two axes of TG (with or without protac) continuously changed with age and differed from that of warfarin-treated plasma or **adult PC- or PS-deficient plasma**. PiCi% increased dynamically during infancy and correlated with PS levels in newborns and PC levels in young children. Increment of PC or fresh frozen plasma equivalent to approximately 25% PC in PC-deficient plasma improved PiCi%. This automatic measurement requiring a small sample volume is useful for the analysis of developmental hemostasis.

Key words; developmental hemostasis, protein C, protein S, antithrombin, thrombin generation

1. INTRODUCTION

The human hemostatic mechanism consists of primary hemostasis, secondary hemostasis (coagulation), and fibrinolysis. In growing or developing children, hemostasis and thrombosis are controlled by different mechanism(s) from those in adults, referred to as “developmental hemostasis” [1-5]. Because of their immature liver function, the plasma levels of most coagulation factors in newborns are approximately half those in adults [5]. Although vitamin K (VK) deficiency influences both pro- and anticoagulant activities, a recent protocol for the oral administration of VK contributes to reducing the risk of critical bleeding [6]. Depending on the specific parameter, adult values are usually reached between a few months of age and up to 16 years [5].

The protein C (PC) pathway is important in the pathogenesis and pathophysiology of neonatal infections and thrombosis [7] and implicated in many thrombogenic conditions, including congenital thrombophilia [8]. In Japan, where PC concentrates have not yet been approved, activated PC (APC) concentrate products are indicated for the acute stage of thrombosis but are expensive and not readily available because of the rarity of the disease. Therefore, fresh frozen plasma (FFP) is often used for initial treatment. However, only few studies have evaluated the efficacy of FFP on anticoagulant activity.

In addition to PC and protein S (PS), factor V (FV) is also involved in the PC pathway as a cofactor for PC/PS function. Moreover, conditions with increased thrombin generation (TG) such as high factor VIII (FVIII) levels, prothrombin abnormalities, FV abnormalities, and conditions that show APC resistance are also involved in deteriorated PC pathway function [9]. ThromboPath[®], ProC Global[®], and other assays have been used to evaluate the overall PC pathway function [9-11]. In young children, levels of these factors (including PC, PS, antithrombin [AT], prothrombin, and FV but not FVIII) are physiologically decreased, which may directly affect TG or the PC pathway function that regulates TG. Nevertheless, only few reports have used these assays in newborns and infants [12].

We hypothesized that the PC pathway function in younger children is important but differs from that in adults. Therefore, we aimed to investigate the characteristics of PC pathway function in newborns, infants, and toddlers using the ThromboPath[®] assay to comprehensively evaluate PC pathway function and further evaluate the effects of spiked AT,

1 PC, and FFP *in vitro*.
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4 **2. MATERIALS and METHODS**

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8 **2.1 Ethics**

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10 This study was approved by the Nara Medical University Medical Research Ethics
11 Committee (Nos. 2503 and 8072). Residual blood samples were used after obtaining
12 informed consent and approval from the patients or their parents in accordance with the
13 university's ethical guidelines.
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17 **2.2. Reagents**

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19 Plasma-derived PC (Haematologic Technologies Inc., Essex Junction, VT, USA), PC- or PS-
20 deficient plasma (Affinity Biologicals, ON, Canada), HemosIL™ ThromboPath® kit
21 (Instrumentation Laboratory, Bedford, MA, USA), Thromborel®S (Sysmex Corporation,
22 Kobe, Japan), Hemoclot™ Protein S (Hyphen BioMed, Neuville-sur-Oise, France), Test
23 Team®S ATIII and Test Team®S PC (Sekisui Medical, Tokyo, Japan) were obtained from the
24 indicated vendors.
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31 **2.3. Blood samples**

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33 Plasma samples were obtained from 35 newborns, 42 infants, and 35 toddlers with no
34 hemostatic abnormalities and 20 healthy adults with no history of medication affecting
35 hemostatic function, 4 adult patients with liver disease, and 6 adult patients taking warfarin,
36 all who consented to participate in the study. **Adult PC- or PS-deficient plasmas (n=2, each)**
37 **were commercially purchased.** The samples from newborns and infants were 3.2% sodium-
38 citrated plasma remaining after performing hemostatic screening tests on admission or
39 preoperative evaluation. Plasma samples were also collected from healthy adults. Platelet-
40 poor plasma was obtained by centrifuging citrated whole blood for 15 min at 2,300×g. All
41 plasma samples were stored at -80 °C and thawed at 37 °C immediately before performing
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51 **2.4. Laboratory tests**

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53 Plasma prothrombin time (PT) and AT activity were measured as normal hemostatic
54 screening when the hemostatic test was requested. The measurements were performed using
55 a Blood Coagulation Automated Analyzer CP3000™ (Sekisui Medical). PC, PS, and AT
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1 activities, which were not tested in the hemostatic screening, were measured using the
2 CP3000™ simultaneously with ThromboPath® measurements during the lysis of frozen
3 residual plasma.
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6 7 8 **2.5. Assay for assessing global PC/PS pathway function**

9 The total potential of PC/PS anticoagulant pathway function was evaluated using the Hemos
10 IL™ ThromboPath® kit, which utilizes snake venom extract (protac) to fully activate PC
11 contained in plasma. Subsequently, APC/PS degrades activated FV (FVa) and FVIIIa in
12 phospholipids and reduces tissue factor-triggered TG. The PC/PS-induced anticoagulant
13 mechanism is potentiated in the presence of cofactors such as FV. Hence, the ratio (percentage
14 of protac-induced coagulation inhibition, PiCi%) of the amounts of TG without (**ODB**) and
15 with (**ODA**) protac provides an overall measurement of PC/PS-related functional activity and
16 is clinically used to comprehensively assess PC/PS pathway function in patients with
17 thrombotic tendencies. Briefly, the optical density was measured at 405 nm on an ACL-
18 TOP™CTS300 analyzer (Instrumentation Laboratory, IL Japan, Tokyo, Japan) after the
19 addition of thrombin-specific chromogenic substrate (S-2796; Z-D-Arg-Ser-Arg-pNA) with
20 or without the addition of protac. The results are expressed as PiCi%, as recommended by
21 the manufacturer, and were determined using the ratio $(\text{ODB} - \text{ODA})/\text{ODB} \times 100$.
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32 33 34 **2.6. Statistical analysis**

35 All data were summarized as means with standard deviation (SD). Correlation and regression
36 analyses were conducted for each age group to investigate their relationships between PiCi%
37 and other factors (PT- international normalized ratio [PT-INR], PC, PS, and AT). Additionally,
38 to assess the changes in the relationship between coagulation parameters with age, the
39 correlation coefficients between each parameter were estimated. The degree of correlation
40 was classified based on the |r| values as strong (>0.7), moderate (>0.5), or weak (>0.3). To
41 examine the differences in ODB, ODA and PiCi% between newborns with and without AT
42 spikes, a paired *t*-test was conducted as a post-hoc analysis. The two-sided significance level
43 was set to 0.05.
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52 53 **3. RESULTS**

54 55 56 **3.1. Anticoagulant potentials according to ThromboPath® in the healthy subgroup by age**

57 The ODB and ODA were obtained by measuring the amounts of TG produced without and
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1 with protac, respectively, in plasma samples from the healthy group according to age using
2 ThromboPath[®]. PiCi% was calculated as described in the Methods section (**Table 1**). The
3 ODB and ODA in healthy adults (n=20) were 801.8±63.6 and 105.0±29.6 mOD/min,
4 respectively. TG was suppressed by 78.6–92.7% with the addition of protac, and the PiCi%
5 was 86.9±3.4%. The ODB in 35 newborns was 525.0±73.8 mOD/min, approximately two-
6 thirds of that in adults, whereas the ODA was 300.5±51.4 mOD/min, approximately three-
7 fold higher than the TG in adults. The PiCi% was 42.1±9.9%, approximately half that in
8 adults. In the 42 infants, the ODB was 719.6±95.8 mOD/min, an approximately 1.4-fold
9 increase in TG relative to newborns and 90% of that in adults, indicating significant changes
10 in procoagulant potentials. The ODA was 210.5±62.2 mOD/min, approximately two-fold
11 higher than that in adults, resulting in a lower PiCi% than that in adults (69.8±11.0%). In the
12 35 toddlers, the ODB and ODA were 785.2±53.2 and 133.3±33.8 mOD/min, corresponding
13 to approximately 98% and 127% of the levels in adults, respectively, resulting in a PiCi% of
14 82.9±4.4% which was comparable to that in adults.

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27 **Figure 1** shows the parameters for all healthy samples in order of age in months. The results
28 of this assay showed that procoagulant potentials (ODB) were low in newborns and
29 dynamically increased in infants, especially within the first 6 months of life, and were close
30 to adult levels in toddlers. In contrast, residual TG after the activation of PC pathway (ODA)
31 was three-fold higher in newborns than in adults, gradually reduced during infancy, and was
32 close to the levels of adults in healthy toddlers. Therefore, anticoagulant potentials evaluated
33 with PiCi% were very low in newborns (32.2–52.0%, ±1SD), markedly increased in infants
34 (especially within the first 6 months) but remained low (58.8–80.8%), and approached adult
35 levels (83.5–90.3%) in toddlers (78.5–87.3%).

3.2. *Difference in PiCi% between pathological and healthy plasma samples*

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44 PiCi% is reduced not only in healthy children but also in adult patients with liver disease or
45 taking anticoagulants such as warfarin [13,14]. The low PiCi% in such patients in the present
46 study suggested qualitative differences in pathological samples compared with in healthy
47 samples (**Table 1**). **Figure 1B** shows a two-dimensional map of ODB (without protac) and
48 ODA (with protac). All samples from patients with liver disease overlapped with healthy
49 samples. Furthermore, plasma samples from patients taking warfarin (older individuals with
50 underlying cardiac diseases such as atrial fibrillation) or PC or PS deficiency suggested
51 different positions in the two-dimensional maps compared with healthy samples. In other
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1 words, among samples with lower PiCi% (less than the cutoff value of 80%), procoagulant
2 potentials (ODB) differed from those of healthy children, even in similar PiCi% ranges (very
3 low ODB in the warfarin group or very high ODB and ODA in the PC- or PS-deficient
4 groups).
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8 9 10 **3.3. Relationships of plasma levels of PT-INR, PC, PS, and AT with PiCi%**

11 To clarify the correlation of critical plasma components with ThromboPath[®] parameters, we
12 measured the levels of PT-INR, PC, and PS, as well as AT activity in the plasma. The results
13 are summarized in **Table 1**. Because of the limited sample volume, the number of measurable
14 samples was restricted in several tests (shown in brackets in Table 1). Multiple regression
15 analysis was difficult to perform because of the small sample size; therefore, the correlation
16 coefficients for each parameter were analyzed in a round-robin manner.
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23 Results of the total sample are shown in **Supplemental Data**. All analyzed pairs showed a
24 significant correlation ($p < 0.0001$) with $|r|$ values. Among the PT-INR, PC, PS, and AT
25 activities, PS showed the highest $|r|$ value with PiCi% ($r = 0.86$), followed by PC ($r = 0.85$). The
26 highest $|r|$ value with ODB and ODA was observed in PC ($r = 0.85$ and -0.77 , respectively).
27 The distributions of ODB, ODA, and the resulting PiCi% values changed dramatically with
28 age; thus, the same analyses were repeated for all four age groups. We observed no significant
29 correlations between PiCi% and PT-INR in toddlers ($r = -0.14$) or adults ($r = -0.05$); however,
30 a weak negative correlation was observed in newborns ($r = -0.41$, $p < 0.05$), and a moderate
31 negative correlation was observed in infants ($r = -0.50$, $p < 0.001$) (**Figure 2A**). No significant
32 correlation was observed between PiCi% and PC activity in newborns ($r = 0.28$) or adults
33 ($r = 0.05$); however, a strong positive correlation was observed in infants ($r = 0.73$, $p < 0.001$),
34 and a moderate positive correlation was found in toddlers ($r = 0.55$, $p < 0.001$) (**Figure 2B**). No
35 significant correlation was observed between PiCi% and PS in adults ($r = 0.48$, $p > 0.05$) or
36 infants ($r = 0.34$, $p > 0.05$); however, a moderately significant positive correlation was found
37 ($r = 0.66$, $p < 0.01$) (**Figure 2C**). No significant correlation was observed between PiCi% and
38 AT activity in adults ($r = -0.36$), toddlers ($r = 0.38$), or infants ($r = 0.30$); however, a weak
39 positive correlation was observed in newborns ($r = 0.38$, $p < 0.05$) (**Figure 2D**).
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54 We summarized the results of the correlations of ODB, ODA, and PiCi% with PT-INR, PC,
55 PS, and AT in the four age groups in **Figure 3** and **Supplemental Data**. The characteristics
56 for each age group were as follows:
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1 (i) Newborns: Procoagulant potential (ODB) was strongly correlated with AT, PC, PS, and
2 PT-INR. PiCi% was moderately correlated with PS and weakly correlated with AT or PT-
3 INR but not with PC. PT-INR was negatively correlated with PC, PS, and AT, whereas AT
4 was positively correlated with PC and PS, suggesting that both procoagulant and
5 anticoagulant potentials in newborns were low, probably because of immature liver function.
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7 (ii) Infants: ODB was moderately correlated with PC and PT-INR and weakly correlated with
8 AT but not with PS. ODA was moderately correlated with PC, resulting in a strong correlation
9 between PiCi% and PC. PT-INR was weakly correlated with PC, AT, and PiCi%.
10
11 (iii) Toddlers: ODB was strongly correlated with PC but not with PT-INR or AT. ODA was
12 weakly correlated with PC, resulting in a moderate correlation between PiCi% and PC. PS
13 was not evaluated in this age group because of the lack of plasma samples.
14
15 (iv) Adults: ODB was moderately correlated with PC and AT but not with PT-INR, suggesting
16 that PT-INR was plotted within a narrow range (1.01 ± 0.06 in Table 1) owing to mature
17 procoagulant potentials in adults (probably similar in toddlers). ODA was moderately
18 correlated with AT in this age group. PiCi% was not correlated with any factor, probably
19 because PiCi% was plotted within a narrow range in healthy adults.
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30 **3.4. Effect of spiked AT on ODB, ODA, and PiCi% in healthy newborn plasma**

31 As ThromboPath[®] was an indicator of total PC pathway function, PC and PS were likely to
32 be strongly correlated with this assay. The involvement of PT-INR was also convincing, as
33 PiCi% is based on endogenous TG potentials [9]. Therefore, the weak but significant
34 correlations between AT and PiCi% in newborns and infants were unexpected. Therefore, we
35 performed additional experiments to confirm the effects of AT alone on the plasma of healthy
36 newborns. ThromboPath[®] was performed on the plasma from newborns (n=9) in the presence
37 or absence of added commercial AT (Neuert[®]; final concentration, 100%). Spiked AT slightly
38 reduced TG in ODB ($p < 0.05$) but did not change ODA or PiCi% (**Table 2**), suggesting that
39 the correlation between AT and PiCi% occurred owing to confounding factors (PC and PS).
40 Newborns with low AT levels also had low PC and PS levels, and the latter was associated
41 with low PiCi% in this age group.
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52 **3.5. Impact of spiked PC or FFP on PiCi% in PC-deficient plasma**

53 ThromboPath[®] was performed with commercially available severe PC-deficient plasma
54 spiked with PC (0–150%) to estimate the effect of PC concentrates, which are clinically used
55 for the treatment of severe congenital PC deficiency, on PiCi% (**Figure 4A**). TG was
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1 suppressed by the addition of protac (ODA) as the amount of spiked PC increased. The PiCi%
2 was approximately 80% at 50% of the spiked PC and reached a plateau at 70% of the PC
3 where the PiCi% exceeded the cutoff value for adults (>80%).
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8 We also examined the effects of additional spiked FFP at various PC concentrations (**Figure**
9 **4B**). Plasma (150 mL) with PC activity (0–150%) was mixed with FFP (50 mL) to simulate
10 the clinical setting of FFP transfusion at 15 mL/kg. The expected increase in PC activity was
11 approximately 25%. Spiking FFP into PC-deficient plasma (PC=0%) improved the PiCi%
12 level (57.5%; **Figure 4B**), which corresponded to a 10–25% increase in PC activity (48.8–
13 66.3%; **Figure 4A**). The effect of spiked FFP on PiCi% was no longer observed at PC
14 concentrations >50%.
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22 4. DISCUSSION

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25 Many pediatric textbooks indicate that children cannot be considered miniature adults; rather,
26 children are in the process of growth and development. This description also applies to
27 hemostasis and thrombosis: pediatric and adult hemostatic balances differ. Although the PC
28 pathway has been comprehensively evaluated in adults [9-11], the evaluation in developing
29 young children has been limited to individual factors such as PC and PS [1-5]. The strength
30 of our results lies in demonstration of the evolution of the overall function of the PC pathway
31 with age.
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38 The results of the present study demonstrated that PiCi%, a key parameter of the overall PC
39 pathway function, changed dramatically from the neonatal stage to infancy (**Figure 1A**). In
40 newborns, the most important factor that correlated with PiCi% was PS and not PC; in infants,
41 it was PC but not PS (**Figures 2,3**). This finding does not indicate that PC is unimportant in
42 newborns; rather, under conditions of low PC values (29.8±15.6%) in healthy newborns, PS
43 values may be in the rate-limiting phase. Our findings also indicated that in infants, in whom
44 the values approached the adult level but showed large variability (68.2±23.6%), PC values
45 may be in the rate-limiting phase. Many studies have investigated age-related changes in each
46 component of coagulation/anticoagulation factors. In general, levels of most coagulation
47 factors in newborns are approximately half those in adults, with most reaching adult levels
48 by 6 months, whereas others do not reach those levels until adolescence. Likewise, PC and
49 PS levels are low at birth (usually <50% in adults) and in the first weeks of life, approaching
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1 adult levels by 6–12 months of life. Low PS levels may be partially counterweighed by a
2 higher proportion of free PS because the levels of its carrier protein, the C4b-binding protein,
3 are also reduced (or may even be undetectable) in newborns [5]. AT levels are relatively low
4 at birth and in the first weeks of life but gradually increase thereafter, approaching adult levels
5 by 3–6 months of life.
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10 Plotting of the PC pathway function on the two axes of ODB and ODA, as well as PiCi%,
11 showed a continuum of change with age among the healthy subgroups, whereas some groups
12 showed a different distribution from the normal range owing to pathological conditions or
13 anticoagulant therapy (**Figure 1B**). The four cases in the group of (older) adults with chronic
14 hepatitis or liver dysfunction had PiCi% of $68.1 \pm 13.4\%$, close to that in the infant group
15 ($69.8 \pm 11.0\%$); the 2D-plots also overlapped. In contrast, in the six adults on warfarin, the
16 PiCi% was $63.5 \pm 12.9\%$, similar to the values in healthy infants or adults with liver disease.
17 However, the ODB and ODA values differed in the 2D map. In these plasma samples, VK
18 deficiency caused a decrease in TG (low ODB) owing to a decrease in VK-dependent
19 coagulation factors.
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30 The warfarin-treated group demonstrated lower ODA (with higher PiCi%) compared with
31 healthy newborns with comparable ODB values, although the lower PC and PS were
32 probably equivalent. Although the reason for this difference is unclear, the influence of other
33 factors that are VK-independent coagulation factors involved in PC pathway function (such
34 as FV) has been speculated. Adult ~~eases plasmas~~ of ~~congenital~~ PC or PS deficiency also
35 showed a different distribution compared with healthy newborns, with equally low PiCi%.
36 The ODB values remained as high as those of healthy toddlers or adults, whereas the ODA
37 values were extremely high owing to very low PC or PS values, resulting in a different
38 distribution. Although the present study did not include samples from newborns and infants
39 with congenital PC or PS deficiencies, discriminating the pathology of abnormal PC pathway
40 function by using age-group references in ThromboPath[®] is possible.
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51 Although we examined the relative contributions of PT-INR, PC, PS, and AT to the total data
52 of all age groups in terms of PiCi%, this analysis was inappropriate because PiCi%, the
53 outcome of the regression analysis, varied greatly with age. Therefore, we performed a
54 comparison using a correlation matrix, which allowed us to identify the characteristics of
55 each age group (**Figure 3, Supplemental Data**). It is difficult to explain the involvement of
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1 AT in PC pathway function because AT inhibits thrombin and FXa in the presence of heparin.
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3 However, the overall analysis revealed a strong correlation with PiCi% and a weak but
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5 significant correlation in newborns. To assess the validity of these findings, we added
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7 exogenous AT to newborn plasma. However, the PiCi% did not change significantly (**Table**
8
9 **2**), suggesting that the correlation between AT and PiCi% may have been mediated by
10
11 confounding factors. AT showed a strong correlation with PC and PS, suggesting that the
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13 effects of PC and PS variation can be assessed. Although the *in vitro* addition of PC or PS to
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15 the samples would have allowed the assessment of PiCi% improvement, we were unable to
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17 do so due to insufficient sample volume. Instead, we observed a concentration-dependent
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19 improvement in PiCi% when PC was added to commercial PC-deficient plasma (**Figure 4A**).
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21 However, newborn plasma with the same PC concentration range (<25%), showing large
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23 changes in PiCi%, did not correlate with PC concentration. The effect of PS concentration
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25 on PiCi% was not assessed in the present study but has been reported previously [9],
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27 including by our group (data not shown).

28 We also evaluated the effects of *in vitro* addition of FFP equivalent to 15 mL/kg (**Figure 4B**).
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30 The effect of FFP on PiCi% was reasonable, although each clotting factor was expected to
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32 increase by approximately 25% with FFP. These results were obtained using commercially
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34 available PC-deficient plasma, which differs from the composition of newborn plasma in
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36 neonatal fulminant purpura, to which the actual administration is expected. However, if adult
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38 FFP, which is equivalent to 25% of the plasma volume, is administered to newborns, additive
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40 or synergistic effects of PC and other relevant factors can be expected.

41 The results of this study have clinical implications. ThromboPath[®] is a highly sensitive assay
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43 for PC, PS, FV, lupus anticoagulant, high FVIII levels, and changes in PT abnormality related
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45 to PC pathway function and had shown great promise for application in screening tests for
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47 thrombophilia. However, the clinical significance of screening tests remains controversial
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49 [15]. Moreover, screening tests for asymptomatic patients are not currently recommended
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51 because thrombosis does not always develop in groups or families with abnormal levels;
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53 hence, the results may cause anxiety, and the tests are not cost-effective [15]. Thrombosis is
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55 more likely to develop not when laboratory values are out of the normal range but rather in
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57 combination with exacerbating factors such as vessel wall condition, genetic factors, and
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59 environmental factors. In the present study, we attempted to identify not only PiCi% but also
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61 the relationships with and without protac. However, the pathological samples demonstrated
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1 variation by age group (liver disease) and according to warfarin use and PC/PS deficiency).
2 Therefore, the potential clinical applications of our findings include the following:

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4 (i) A new index that considers the balance between coagulability and anti-coagulability, in
5 addition to PT-INR, for patients taking warfarin and comparison with those on direct oral
6 anticoagulants (DOACs).

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8
9 (ii) A new index of VK efficacy in newborns.

10
11 (iii) Assessment of the association with thrombotic risk in the context of a similar single test
12 value for thrombophilia (PC, PS, AT, and FV_{Leiden}).

13
14 (iv) The potential for understanding the pathophysiology of liver disease by assessing
15 whether the coagulation/anticoagulation balance is physiological (outside adult reference
16 values but within the age group reference distribution) or pathological.

17
18 This development was initially anticipated; however, ThromboPath[®] was discontinued. We
19 hope that this assay, which is easy to use and reproducible, will be relaunched. The
20 development of similar methods is also expected, and we are currently developing similar
21 methods [11,16].
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29 This study had some limitations, including the lack of ThromboPath[®] availability and the
30 small sample sizes, especially the number of PS-deficient samples. Additional limitations
31 included the blood collection volume, quality of the blood samples used, and lack of
32 comparison with other coagulation tests (such as activated partial thromboplastin time,
33 fibrinogen, D-dimer, and FV).
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39 In conclusion, the assay for evaluating total TG in plasma with and without protac enabled
40 the identification of the characteristics of the PC pathway function in younger children by
41 age group. In addition to the evaluation of individual factors, a fully automated method with
42 a small sample volume (150 µL), such as the assay used in our study, is useful for the analysis
43 of developmental hemostasis in younger children.
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6 **Authorships**

7 **Contribution**

8 **TN** carried out the experiments, analyzed the data, created the figure, wrote the paper; **KO**
9 designed the study, analyzed the data, created the figures, wrote the paper, and approved the
10 final version for publication; **HT** supported clinically; **YT** and **TN** supported clinically and
11 supervised this research, **KN** designed the study, interpreted the data, wrote the paper, and
12 edited the manuscript.
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19 **Conflict of interests**

20 The authors declare that they have no conflicts of interest.
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25 **Data availability:** The full data that support the findings of this study are available on request
26 to the corresponding author.
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29

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34 of the report; and decision to submit the article for publication.
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10 **FIGURE LEGENDS**

11 **Figure 1. Thrombin generation without and with protac and resulting PiCi% by**
12 **ThromboPath® for healthy plasma samples by age group and pathological patient**
13 **samples.**
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16 (*Panel A*) The thrombin generation values in the absence (ODB; *blue bars*) and presence
17 (ODA; *red bars*) of protac in each healthy plasmas by age group (newborn, infant, toddler,
18 and adult) were measured at 405 nm after the addition of S-2796 using ThromboPath® as
19 described in Methods. The results were expressed as percentage of protac-induced
20 coagulation inhibition (PiCi%; *closed dots*) that were determined by using the (ODB –
21 ODA)/ODB x 100.
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24 (*Panel B*) The thrombin generation values without and with protac in healthy plasmas by age
25 group (*panel A*) and pathological plasmas (liver dysfunction, warfarin-treated, and PC or PS-
26 deficiency) were plotted as a 2-demensional map. The straight lines indicate the 10-90%
27 PiCi% positions.
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36 **Figure 2. Relationship of plasma levels of anticoagulation parameters on PiCi% by age**
37 **group**
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39 Plasma levels of PT-INR (*panel A*), PC (*panel B*), PS (*panel C*), and AT (*panel D*) activity
40 were measured and were compared to PiCi% by age group. The analyzed pairs were
41 calculated as the correlation with variable $|r|$ values. The symbol used are as follows,
42 newborn; *blue circles*, infant; *red circles*, toddler; *green circles*, adult; *black circles*.
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48 **Figure 3. Relationship of correlations of ODB, ODA, and PiCi% to PT-INR, PC, PS,**
49 **and AT, by age group**
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51 The $|r|$ values were shown as thickness of the lines. The degree of correlation was classified
52 based on the $|r|$ values: strong (>0.7), moderate (>0.5), and weak (>0.3). Significant
53 correlations ($p<0.05$) were shown as solid lines and no significant correlations as dotted lines.
54 Positive and negative correlations were shown as black and gray lines, respectively.
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1 **Figure 4. Effect of spiked purified PC or FFP on PiCi% in PC-deficient plasma**

2
3 The thrombin generation values in the absence (ODB; *blue bars*) and presence (ODA; *red*
4 *bars*) of protac in commercially available severe PC-deficient plasma spiked with purified
5 PC (0-150%) (*panel A*) and with FFP (*panel B*) were measured using ThromboPath® as
6 described in Methods. The results were expressed as PiCi% (*closed dots*) that were
7 determined by using the $(ODB - ODA)/ODB \times 100$.
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Table 1. Summarized results of PiCi% and anticoagulant factors in healthy groups by age or patient groups.

PiCi%: Protac-induced coagulation inhibition%, PT-INR: prothrombin time-international ratio, PC: protein C, PS: protein S, AT: antithrombin, Ac: activity, N/A: not applicable, ODB: thrombin generation without protac, ODA: thrombin generation with protac.

Data were shown as mean±SD.

	Newborns	Infants	Toddlers	Adults	Liver dysfunction	Warfarin-treated	PC or PS deficiency
Number	35	42	35	20	4	6	4
Age (month)	0.16±0.25	5.3±3.3	25.2±10.3	353±66	851±121	1,055±96	N/A
ThromboPath®				(mOD/min)			
ODB; protac (-)	525.0±73.8	719.6±95.8	785.2±53.2	801.8±63.6	705.3±106.5	406.5±61.5	796.6±21.1
ODA; protac (+)	300.5±51.4	210.5±62.2	133.3±33.8	105.0±29.6	223.7±92.6	143.2±32.7	648.7±89.2
PiCi% (%)	42.1±9.9	69.8±11.0	82.9±4.4	86.9±3.4	68.1±13.4	63.5±12.9	18.6±10.1
Labo data							
PT-INR	1.25±0.23 (n=29)	1.07±0.13	1.00±0.09	1.01±0.06	N/A	N/A	N/A
PC:Ac (%)	29.8±15.6 (n=25)	68.2±23.6 (n=41)	86.1±19.2	113.9±13.4	N/A	N/A	N/A
PS:Ac (%)	32.9±20.0 (n=14)	77.3±17.2 (n=16)	N/A	96.9±14.0 (n=10)	N/A	N/A	N/A
AT:Ac (%)	49.0±19.6 (n=29)	93.4±25.3 (n=35)	122.4±23.2 (n=16)	104.0±11.8	N/A	N/A	N/A

Table 2. PiCi% on the spike of exogenous AT in newborn plasma (ThromboPath®)

PiCi%: Protac-induced coagulation inhibition%, AT: antithrombin, n.s.: not significance.

ODB: thrombin generation without protac, ODA: thrombin generation with protac

A paired *t*-test was conducted as a post-hoc analysis. The significance level is set at 0.05 (two-sided). **Data were shown as mean±SD.**

	No spike of AT	Spike of AT	p value
	<i>mOD/min</i>		
ODB; protac (-)	506.6±79.0	475.2±90.3	<0.05
ODA; protac (+)	288.6±70.0	272.5±55.8	n.s.
PiCi% (%)	40.9±19.0	40.2±17.4	n.s.

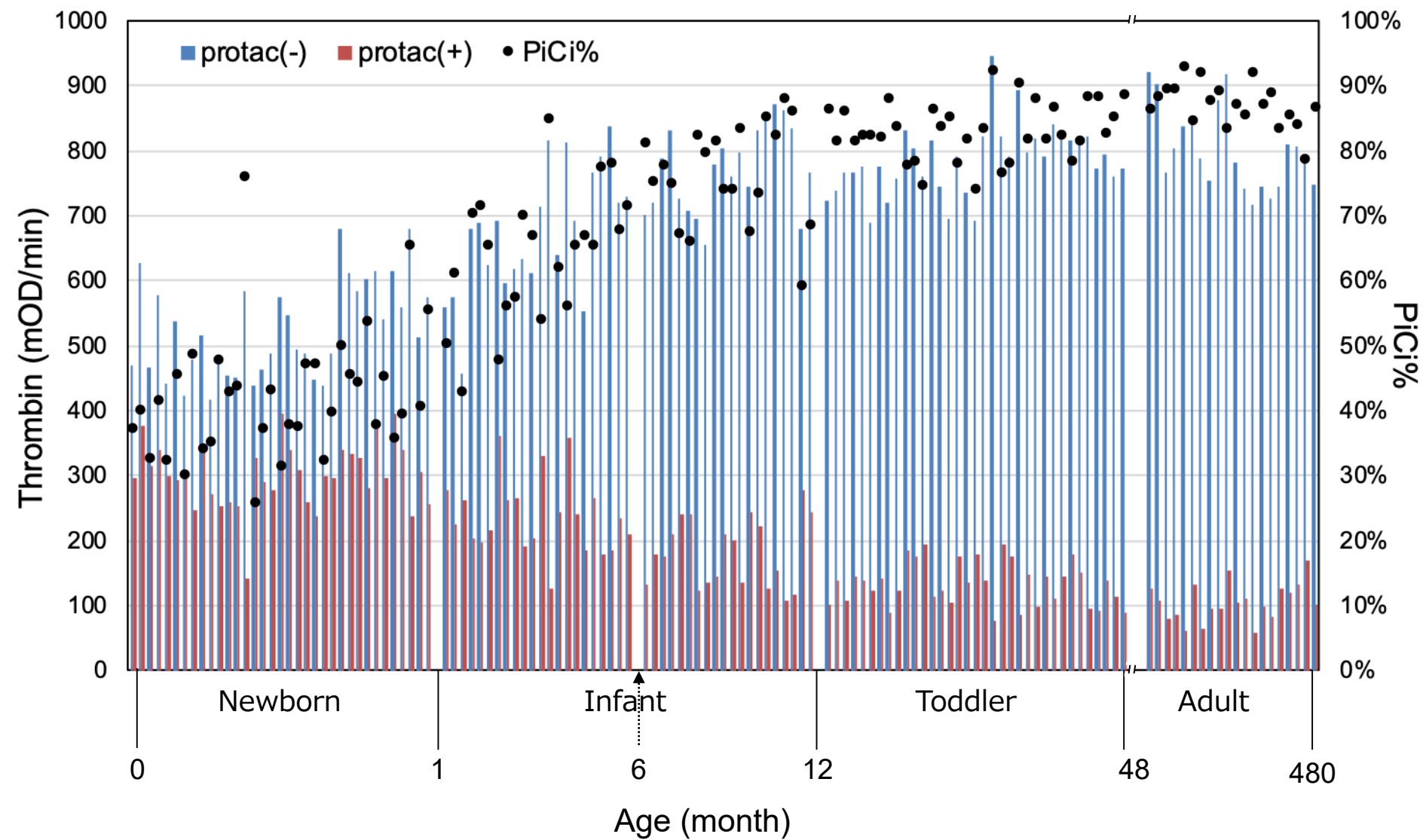


Figure 1A

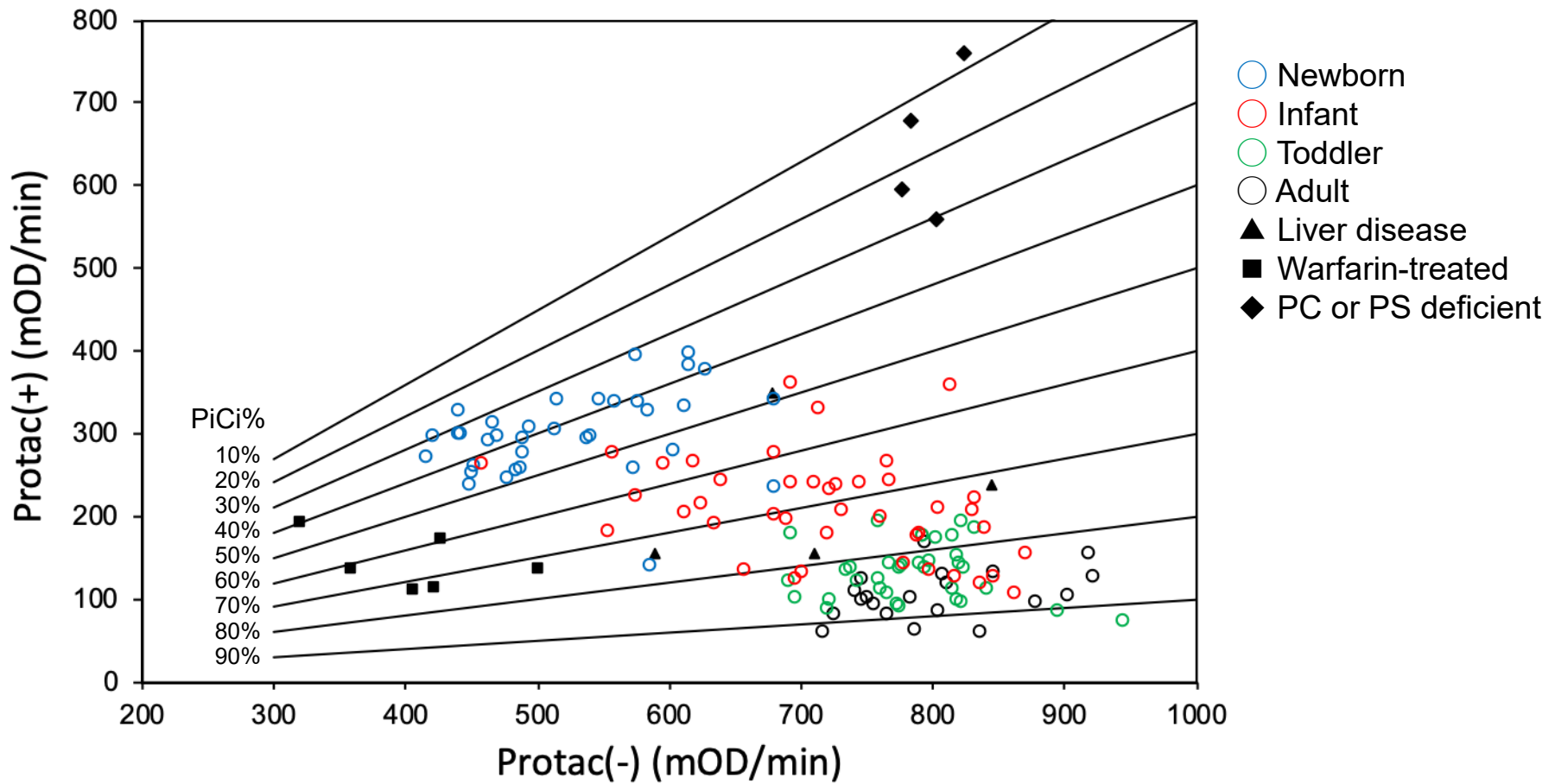


Figure 1B

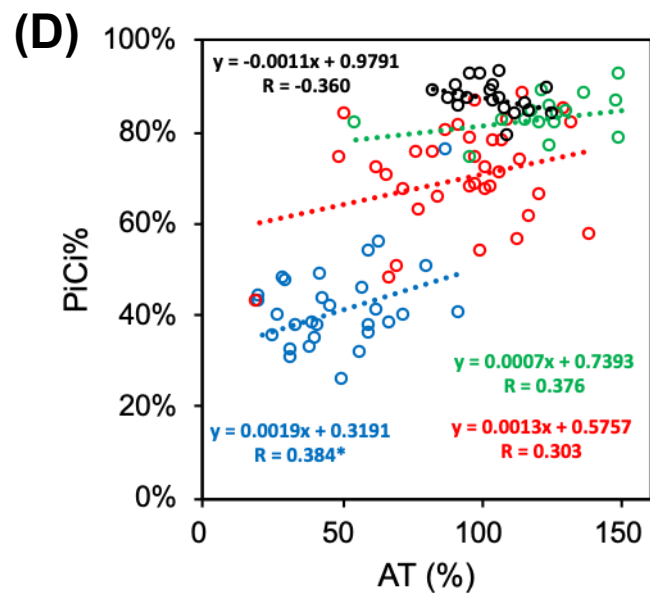
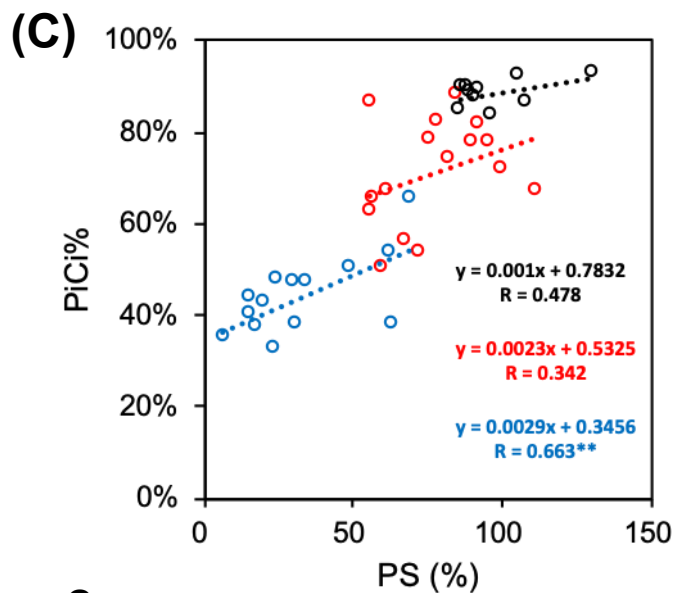
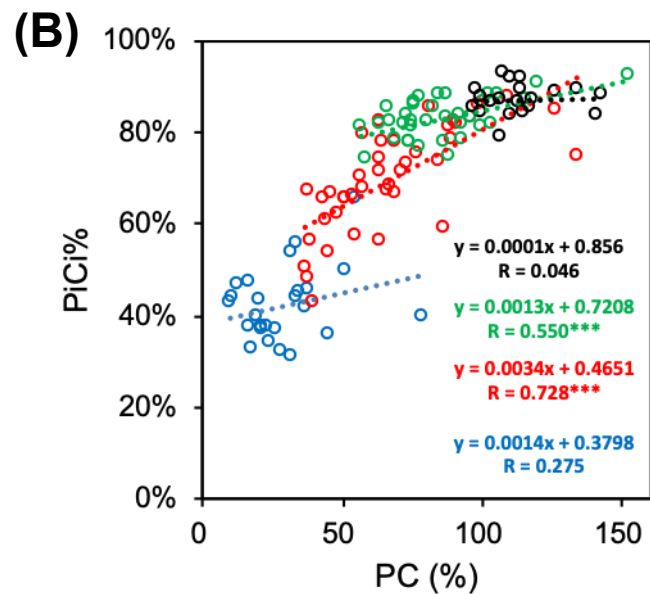
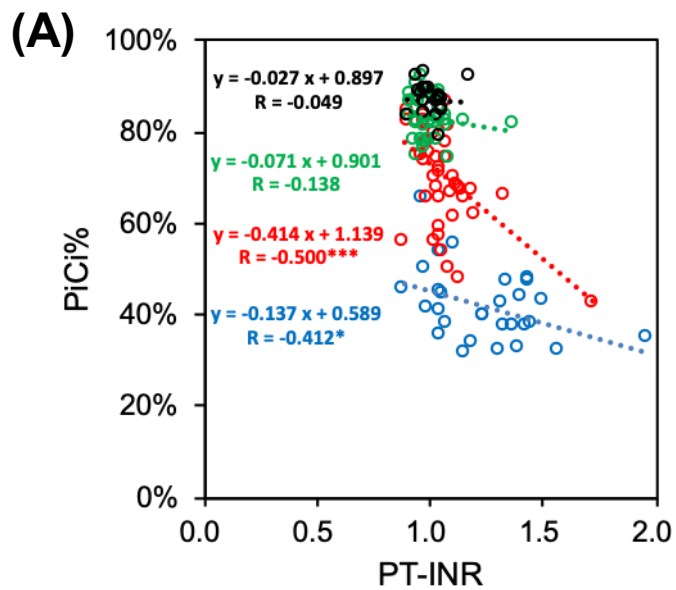


Figure 2

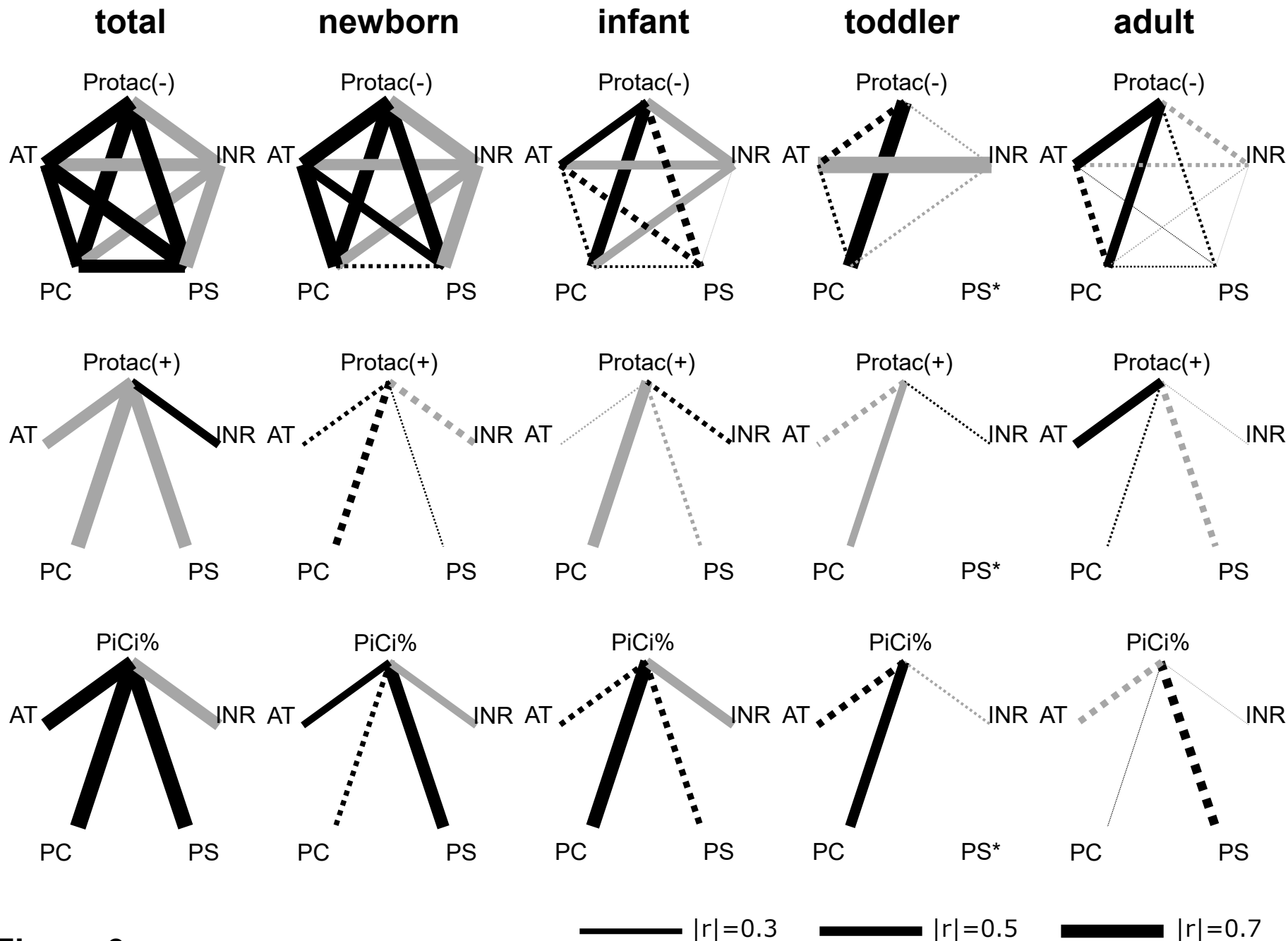


Figure 3

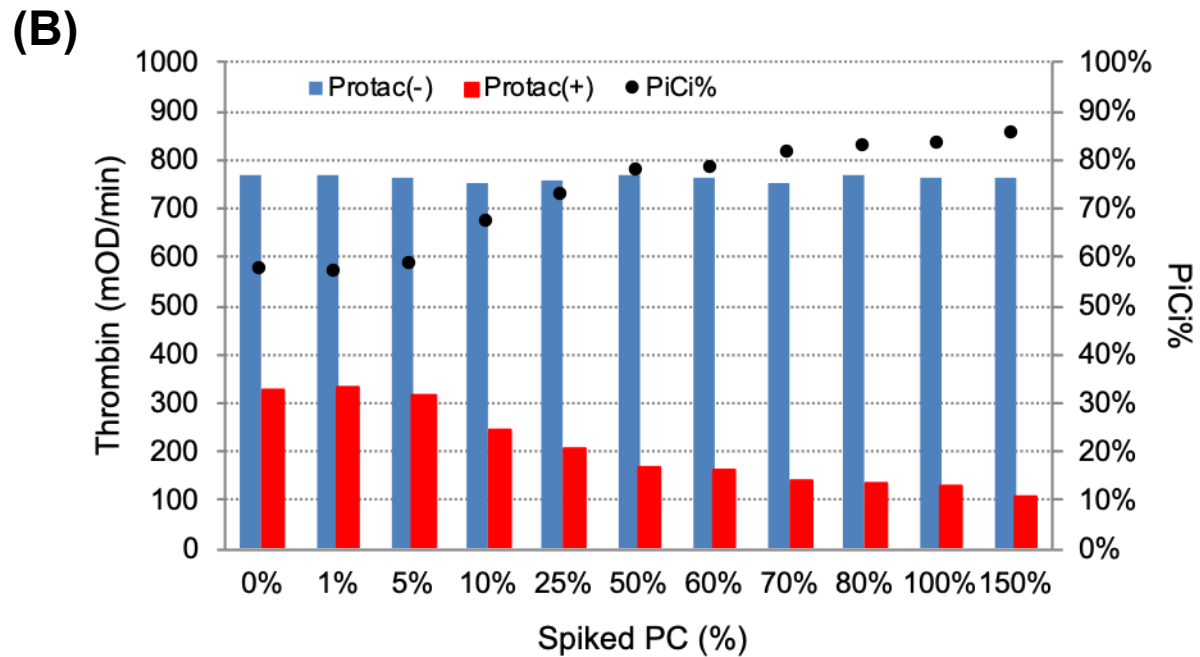
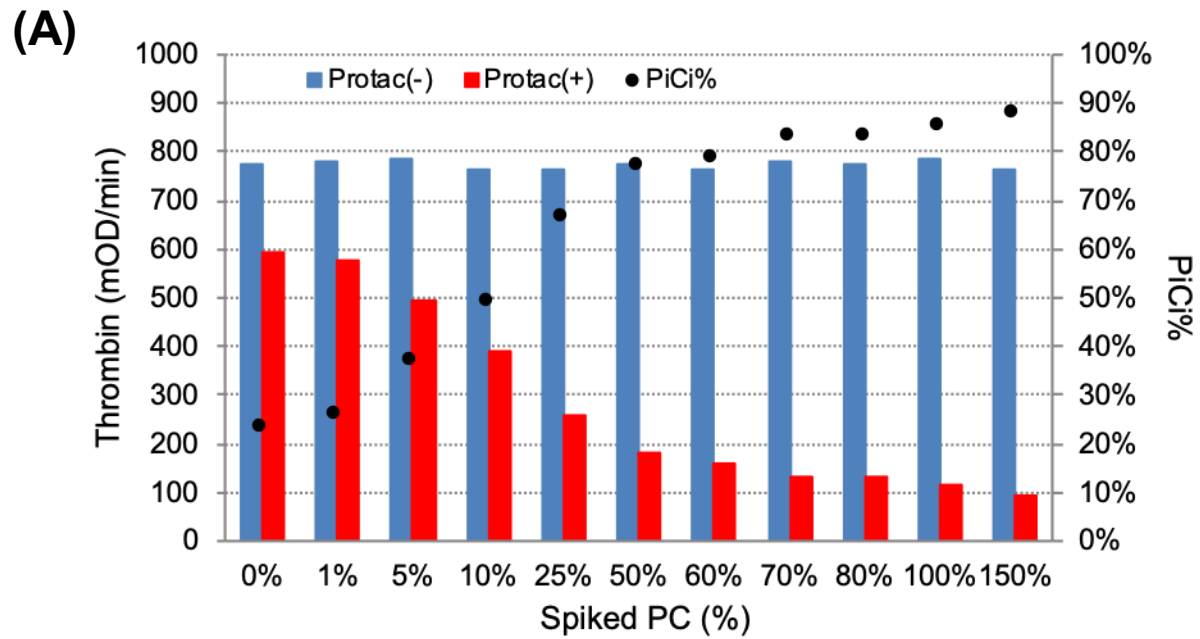


Figure 4



Click here to access/download
Supplementary Material
231011_Supplemental_data.xlsx



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Manuscript Title: Anticoagulant effects of protein C, protein S, and antithrombin levels on the protein C pathway in young children

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		Name all entities with whom you have this relationship or indicate none (add rows as needed)	Specifications/Comments (e.g., if payments were made to you or to your institution)												
Time frame: Since the initial planning of the work															
1	All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.) No time limit for this item.	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table>							<table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table> <p style="font-size: small; text-align: center; margin-top: 5px;">Click the tab key to add additional rows.</p>						
Time frame: past 36 months															
2	Grants or contracts from any entity (if not indicated in item #1 above).	<input type="checkbox"/> None <table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table>							<table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table>						
3	Royalties or licenses	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table>							<table border="1" style="width: 100%; height: 40px; margin-top: 5px;"> <tr><td style="width: 60%;"></td><td></td></tr> <tr><td></td><td></td></tr> <tr><td></td><td></td></tr> </table>						

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4	Consulting fees	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
6	Payment for expert testimony	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
7	Support for attending meetings and/or travel	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
8	Patents planned, issued or pending	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
9	Participation on a Data Safety Monitoring Board or Advisory Board	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									
10	Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>									

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11	Stock or stock options	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>							
12	Receipt of equipment, materials, drugs, medical writing, gifts or other services	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>							
13	Other financial or non-financial interests	<input checked="" type="checkbox"/> None <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%; height: 15px;"></td><td style="width: 50%;"></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> <tr><td style="height: 15px;"></td><td></td></tr> </table>							

Please place an "X" next to the following statement to indicate your agreement:

I certify that I have answered every question and have not altered the wording of any of the questions on this form.

[12, October, 2023]

Akifumi Takaori-Kondo
Editor-in-Chief
International Journal of Hematology

Dear Editor:

I wish to submit an Original Article for publication in the *International Journal of Hematology*, titled “Anticoagulant effects of protein C, protein S, and antithrombin levels on the protein C pathway in young children”. The paper was coauthored by Takashi Nakagawa, Hitoshi Tonegawa, Yukihiro Takahashi, Toshiya Nishikubo, and Keiji Nogami.

This study evaluated the contribution of protein C (PC) pathway function during early childhood by administering protac (a PC activator) and measuring changes in plasma thrombin generation (TG). The results showed that the percentage of protac-induced coagulation inhibition (PiCi%) increased dynamically during infancy and was correlated with protein S (PS) levels in newborns and PC levels in young children. In PC-deficient plasma, adding approximately 25% PC or fresh frozen plasma equivalent to 15 mL/kg (approximately 25% PC) improved the PiCi%. Finally, the assay for evaluating total plasma TG with and without protac enabled the identification of the characteristics of the PC pathway function in younger children by age group. We believe that our study makes a significant contribution to the literature because although the PC pathway has been comprehensively evaluated in adults, the evaluation in developing young children has been limited to individual factors such as PC and PS. Our findings demonstrated the evolution of the overall function of the PC pathway with age.

This manuscript has not been published or presented elsewhere in part or in entirety and is not under consideration by another journal. All study participants provided informed consent, and the study design was approved by the appropriate ethics review board. All authors have read and understood your journal’s policies, and we believe that neither the manuscript nor the study violates any of these. There are no conflicts of interest to declare.

Thank you for your consideration. I look forward to hearing from you.

Sincerely,

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